GETTING STARTED

Any advice or recommendations will be based on the information you provide. Incomplete or inaccurate information will negatively impact results. We realize that we may request more information than other planners, but the extra time that you invest will help us develop a more complete picture. All information provided is in the strictest confidence.

Once you have completed the questionnaire, please return it to us at least 2 days prior to your appointment. Please also review a copy of our ADV Part 2 and Privacy Policy on our website at www.copperleaffinancial.com/legal-disclaimer.html.

PERSONAL DATA

Basic Information	**Client 1**	**Client 2**
Legal Name:	______________________	________________________
Date of Birth:	______________________	________________________
Street Address:	______________________	________________________
City, State & Zip:	______________________	________________________
Phone Number:	______________________	________________________
Email Address:	______________________	________________________
Interests / Hobbies:	______________________	________________________

Important Relationships **(Children, grandchildren, or other)**

Name: ______________________	DOB:___________	Relationship:_____________
Name: ______________________	DOB:___________	Relationship:_____________
Name: ______________________	DOB:___________	Relationship:_____________

EMPLOYMENT / INCOME DATA

Basic Information:	**Client 1**	**Client 2**
Employer Name:	____________________________	____________________________
Occupation/Job Title:	____________________________	____________________________
Gross Annual Salary:	____________________________	____________________________
Other Income:	____________________________	____________________________

FINANCIAL QUESTIONS

What is your approximate Net Worth? (Assets-Liabilities) $____________________

Do you own a business or rental real estate? ☐ YES ☐ NO

Do you currently save for retirement? ☐ YES ☐ NO

If so, how much do you have saved? $____________________

Does your employer offer a retirement plan? ☐ YES ☐ NO

Do you have an HSA? ☐ YES ☐ NO

Do you have a Will and/or Trust and POA? ☐ YES ☐ NO

Do you have any of the following types of insurance?

Long-Term Care Insurance ☐ YES ☐ NO

Life Insurance ☐ YES ☐ NO

Disability Insurance ☐ YES ☐ NO

Do you save for college expenses? ☐ YES ☐ NO

If yes, do you currently have a 529 plan? ☐ YES ☐ NO

Do you make annual charitable donations? ☐ YES ☐ NO

If yes, how much? $____________________

PERSONAL BUSINESS ADVISORS

Attorney Name & Company: ____

Accountant Name & Company: ____

Insurance Agent Name & Company: ____

How did you hear about Copper Leaf Financial?

____

Who referred you to Copper Leaf Financial?

____

FINANCIAL PLANNING GOALS & OBJECTIVES

The following goals and objectives are the ones most often mentioned by our clients. Prioritize your top 5 goals in order of importance on the right side, with #1 being the most important goal. Make additional comments where appropriate or in the notes below.

	PRIORITY	
	CLIENT 1	**CLIENT 2**
Minimize current income tax	________	________
Fund college expenses	________	________
Know how my money is invested	________	________
Arrange financial affairs for more convenient management	________	________
Align my investments with my values (SRI/ESG*)	________	________
Select appropriate investments for retirement funds	________	________
Assure adequate retirement income for as long as needed	________	________
Determine how, where, and when to draw retirement income	________	________
Plan for the sale or transfer of a business	________	________
Establish or review my estate plan (wills, trusts, power of attorney, etc.)	________	________
Reduce estate taxes	________	________
Engage heirs or family in financial / wealth discussions	________	________
Leave a legacy for my family	________	________
Transfer assets to the next generation	________	________
Create a giving plan	________	________
Make significant gifts to charity	________	________
Make a difference with my money	________	________
Make smarter decisions with my money	________	________
Worry less about money	________	________
Reduce risk	________	________
Provide for Long Term Care in Retirement	________	________
Prevent 3rd parties from taking my assets	________	________

Additional Goals & Objectives (Priorities, etc.): ____

____

____

____

*SRI/ESG = Sustainable Responsible Impact/Environmental, Social & Governance investing incorporates screens and mission & impact related investment portfolios designed according to defined ethical, environmental or social guidelines.