



# COPPER LEAF FINANCIAL, LLC

## PRIVACY POLICY

Copper Leaf Financial, LLC (Copper Leaf) is committed to client confidentiality and the protection of your privacy. The following information is provided, as required by law, to help you understand our privacy policy and how we will handle and maintain confidential personal information as we fulfill our obligations to protect your privacy. “Personal information” refers to the nonpublic financial information obtained by Copper Leaf in connection with carrying out our services.

### Information We Collect

Copper Leaf collects personal information as part of our relationship with you, to provide client services and fulfill legal and regulatory requirements. The type of information Copper Leaf collects may include:

- Information Copper Leaf receives from you on forms (such as name, address, Social Security number, profile documents, assets and income);
- Information you provide Copper Leaf directly about your personal finances or personal circumstances of which Copper Leaf may receive from brokerage statements or other information you authorize Copper Leaf to receive.

### Information Disclosed in Administering Products and Services

Copper Leaf will not disclose personal information about current or former clients to non-affiliated third parties except as required by law. Copper Leaf does not sell any personal information about you to any third party. Copper Leaf will not disclose personal information without your authorization, except as required by law.

Copper Leaf will update its policy and procedures when necessary to ensure that your privacy is maintained and that Copper leaf conducts business in a way that fulfills our commitment to you. If Copper Leaf makes any material changes to its privacy policy, we will make that information available to clients through our Web site and/or other communications.

### Office Compliance

- Firm-wide security awareness training is provided annually to reinforce security measures.
- An appointed information security coordinator continually monitors and assesses all of the information safeguards to determine when upgrades may be necessary.
- We voluntarily engage in a bi-annual IT Security audit to ensure that our systems are as secure as possible.

*Inquiries regarding this policy should be directed to Kathryn C. Diedrichsen, COO.*

Copper Leaf Form ADV: <https://copperleaffinancial.com/FormADV.pdf>.